

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Under 37 CFR 1.97(b), (c), or (d)

Docket No.

**GRONBACH 1-2
(LCNT/GRNBACH1)**In re Application of: **Siegfried Gronbach et al.**Serial No.
10/789,586Filing Date
02/27/2004Examiner
UnknownGroup Art Unit
2633Title: **APPARATUS AND METHOD FOR PHASE SYNCHRONIZATION CONTROL IN RZ OPTICAL TRANSMITTER**Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

37 CFR 1.97(d)

3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- ☐ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- ☐ The fee set forth in 37 CFR 1.17(p). Please charge the fee to Deposit Account No. 20-0782.

37 CFR §1.704(d)

4. ☐ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

E. J. Wall
EAMON J. WALL, Attorney

Reg. No. 39,414

Dated: 10/6/05**Certificate of Mailing/Transmission**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 10/6/05

Laura E. Crater
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Substitute for form 1449A/PTO <h2 style="text-align: center; margin: 10px 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin-top: 10px;"><i>(Use as many sheets as necessary)</i></p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: right; padding: 5px;">Complete if Known</th> </tr> <tr> <td style="width: 35%; padding: 5px;"><i>Application Number</i></td> <td style="padding: 5px;">10/789,586</td> </tr> <tr> <td style="padding: 5px;"><i>Filing Date</i></td> <td style="padding: 5px;">02/27/2004</td> </tr> <tr> <td style="padding: 5px;"><i>First Named Inventor</i></td> <td style="padding: 5px;">Gronbach</td> </tr> <tr> <td style="padding: 5px;"><i>Art Unit</i></td> <td style="padding: 5px;">2633</td> </tr> <tr> <td style="padding: 5px;"><i>Examiner Name</i></td> <td style="padding: 5px;">Unknown</td> </tr> <tr> <td style="padding: 5px;"><i>Attorney Docket Number</i></td> <td style="padding: 5px;">Gronbach 1-2 (LCNT/GRNBACH1)</td> </tr> </table>		Complete if Known		<i>Application Number</i>	10/789,586	<i>Filing Date</i>	02/27/2004	<i>First Named Inventor</i>	Gronbach	<i>Art Unit</i>	2633	<i>Examiner Name</i>	Unknown	<i>Attorney Docket Number</i>	Gronbach 1-2 (LCNT/GRNBACH1)
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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